

The Companion and Bézout Subresultants of Bernstein Polynomials

Mei Tan

Guangxi Minzu University

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Outline

- 1 Motivation
- 2 Review
- 3 Main Results
- 4 Derivation
- 5 Conclusion

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Review on Subresultants

Notations

- $M_{s \times t}$ where $s \leq t$ and M_i is the i -th column of M

- $\det^p M := \sum_{i=0}^{t-s} \det \begin{bmatrix} M_1 & \cdots & M_{s-1} & M_{t-i} \end{bmatrix} \cdot x^i$

- $F(x) = f_m x^m + \cdots + f_0$ where $f_m \neq 0$

- $G(x) = g_n x^n + \cdots + g_0$ where $g_n \neq 0$

- $M_{F,k}^{(p)} = \begin{bmatrix} f_m & f_{m-1} & \cdots & f_0 & & \\ & \ddots & \ddots & & \ddots & \\ & & f_m & f_{m-1} & \cdots & f_0 \end{bmatrix}_{(n-k) \times (m+n-k)}$

- $M_{G,k}^{(p)} = \begin{bmatrix} g_n & g_{n-1} & \cdots & g_0 & & \\ & \ddots & \ddots & & \ddots & \\ & & g_n & g_{n-1} & \cdots & g_0 \end{bmatrix}_{(m-k) \times (m+n-k)}$

Definition

The k -th subresultant polynomial of F and G is defined as

$$S_k(F, G) := \det^p \begin{bmatrix} M_{F,k}^{(p)} \\ M_{G,k}^{(p)} \end{bmatrix}$$

Proposition (Equivalent determinant form, Li 06')

We have $S_k(F, G) = \det \begin{bmatrix} M_{F,k}^{(p)} \\ M_{G,k}^{(p)} \\ X_k^{(p)} \end{bmatrix}$, where $X_k^{(p)} = \begin{bmatrix} -1 & x & & \\ & \ddots & \ddots & \\ & & -1 & x \end{bmatrix}$

Motivation

- Extend **standard basis** to **Bernstein basis**
- Develop **subresultant** formulas for **Bernstein polynomials**

Problem Statement

Definition

Let $\mathbf{w}_s = [w_{s,0}(x), w_{s,1}(x), \dots, w_{s,s}(x)]^T$ where

$$w_{s,i}(x) = \binom{s}{i} (1-x)^{s-i} x^i \text{ for } 0 \leq i \leq s$$

Then \mathbf{w}_s is called the **Bernstein basis** of $\mathbb{Q}_s[x]$. A **Bernstein polynomial** of degree s is a linear combination of $w_{s,i}$'s, i.e., $\sum_{i=0}^s c_i w_{s,i}(x)$.

Problem

Input: $\deg(F) = m$, $\deg(G) = n$, $m \geq n$, and $0 < k < n$

$$F = \sum_i a_i w_{m,i}(x) = a_0 \binom{m}{0} (1-x)^m x^0 + \dots + a_m \binom{m}{m} (1-x)^0 x^m$$

$$G = \sum_i b_i w_{n,i}(x) = b_0 \binom{n}{0} (1-x)^n x^0 + \dots + b_n \binom{n}{n} (1-x)^0 x^n$$

Output: $S_k(F, G)$ in $\mathbf{w}_k = [w_{k,0}(x), w_{k,1}(x), \dots, w_{k,k}(x)]^T$

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Related Works on Subresultants for Bernstein Polynomials

- Winkler et al. 00', Sylvester resultant matrix
- Winkler et al. 00', **Companion resultant matrix**
- Bini et al. 04', **Bezout resultant matrix**
- Wu & Chen 15', Connection between Sylvester and Bézout resultant matrix
- Winkler et al. 16', Sylvester subresultant matrix
- Tan & Yang 23', Sylvester subresultant polynomials

Scaled Bernstein Basis

Definition

Let $\bar{w}_s(x) = [\bar{w}_{s,0}(x), \bar{w}_{s,1}(x), \dots, \bar{w}_{s,s}(x)]^T$ where

$$\bar{w}_{s,i}(x) = (1-x)^{s-i}x^i \text{ for } 0 \leq i \leq s.$$

Then \bar{w}_s is called the **scaled Bernstein basis** of $\mathbb{Q}_s[x]$. Moreover, a **scaled Bernstein polynomial** of degree s is a linear combination of $\bar{w}_{s,i}$'s.

Companion Resultant Matrix

Given $P = \sum_{i=0}^n p_i \bar{w}_{n,i}(x) \in \mathbb{Q}[x]$ where $p_n \neq 0$, the **companion matrix** of P in scaled Bernstein basis is defined as

$$C_P := E^{-1}A$$

where

$$E = \begin{bmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & \ddots & \\ & & & & \ddots & \\ -\frac{p_0}{p_n} & -\frac{p_1}{p_n} & \dots & -\frac{p_{n-2}}{p_n} & -\frac{p_{n-1}}{p_n} + 1 \end{bmatrix}, \quad A = \begin{bmatrix} & & & & 1 \\ & & & & & 1 \\ & & & & & & \ddots \\ & & & & & & & 1 \\ -\frac{p_0}{p_n} & -\frac{p_1}{p_n} & -\frac{p_2}{p_n} & \dots & -\frac{p_{n-1}}{p_n} \end{bmatrix}$$

Definition

The **companion resultant matrix** of F and G in scaled Bernstein basis is defined as

$$N(F, G) := G(C_F)$$

Bézout resultant matrix

Definition

The **Bézout resultant matrix** of the polynomials F and G in Bernstein basis is defined as an $m \times m$ matrix $B^{(b)}(F, G)$ such that

$$\frac{\det \begin{bmatrix} F(x) & G(x) \\ F(y) & G(y) \end{bmatrix}}{x - y} = \mathbf{w}_{m-1}^T(x) \cdot B^{(b)}(F, G) \cdot \mathbf{w}_{m-1}(y).$$

The entries of $B^{(b)}(F, G)$ are:

- $B_{i,1}^{(b)} = \frac{m}{i}(a_i b_0 - a_0 b_i)$ for $1 \leq i \leq m$
- $B_{i,j+1}^{(b)} = \frac{m^2}{i(m-j)}(a_i b_j - a_j b_i) + \frac{j(m-i)}{i(m-j)} B_{i+1,j}$ for $1 \leq i, j \leq m-1$
- $B_{m,j+1}^{(b)} = \frac{m}{(m-j)}(a_m b_j - a_j b_m)$ for $1 \leq j \leq m-1$

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Companion Subresultants of Bernstein Polynomials

Theorem (Main Result)

For $k < n$, we have

$$S_k(F, G) = c \cdot \det \begin{bmatrix} P_k N(F, G) \\ U_k X_{m-1}^{(b)} \end{bmatrix}$$

where

- $c = \left(\sum_{i=0}^m a_i \binom{m}{i} (-1)^{m-i} \right)^{n-k}$

- $X_{m-1}^{(b)} = \begin{bmatrix} x & -(1-x) & & & \\ & \ddots & \ddots & & \\ & & & x & -(1-x) \end{bmatrix}_{(m-1) \times m}$

- $P_k = \begin{bmatrix} \binom{k}{0} & \binom{k}{1} & \cdots & \binom{k}{k} \\ & \ddots & \ddots & \ddots \\ & & \binom{k}{0} & \binom{k}{1} & \cdots & \binom{k}{k} \end{bmatrix}_{(m-k) \times m}$

- $U_k = \begin{bmatrix} \binom{m-k-1}{0} & \binom{m-k-1}{1} & \cdots & \binom{m-k-1}{m-k-1} \\ & \ddots & \ddots & \ddots & \ddots \\ & & \binom{m-k-1}{0} & \binom{m-k-1}{1} & \cdots & \binom{m-k-1}{m-k-1} \end{bmatrix}_{k \times (m-1)}$

Companion Subresultant of Bernstein Polynomials

- $P_k = \begin{bmatrix} \binom{k}{0} & \binom{k}{1} & \cdots & \binom{k}{k} & & & \\ & \ddots & & & \ddots & & \\ & & \binom{k}{0} & \binom{k}{1} & \cdots & \binom{k}{k} & \\ & & & & \ddots & & \\ & & & \binom{k}{0} & \binom{k}{1} & \cdots & \binom{k}{k} \end{bmatrix}_{(m-k) \times m}$
- $U_k = \begin{bmatrix} \binom{m-k-1}{0} & \binom{m-k-1}{1} & & \cdots & \binom{m-k-1}{m-k-1} & & & \\ & \ddots & & & & \ddots & & \\ & & \binom{m-k-1}{0} & \binom{m-k-1}{1} & \cdots & \binom{m-k-1}{m-k-1} & & \\ & & & \ddots & & & \ddots & \\ & & & \binom{m-k-1}{0} & \binom{m-k-1}{1} & \cdots & \binom{m-k-1}{m-k-1} & \\ & & & & & \ddots & & \\ & & & & & & \binom{m-k-1}{0} & \binom{m-k-1}{1} & \cdots & \binom{m-k-1}{m-k-1} \end{bmatrix}_{k \times (m-1)}$

Remark:

- P_k is the matrix such that $\bar{\mathbf{w}}_k = P_k \bar{\mathbf{w}}_{m+n-k-1}$;
- U_k is the matrix such that $\bar{\mathbf{w}}_{k-1} = U_k \bar{\mathbf{w}}_{m-2}$.

An Illustrative Example

Consider $k = 2$ and

$$F(x) = 2w_{4,0}(x) - \frac{1}{2}w_{4,1}(x) - \frac{1}{2}w_{4,2}(x) - \frac{5}{4}w_{4,3}(x) - w_{4,4}(x)$$

$$G(x) = 4w_{3,0}(x) - 2w_{3,1}(x) - 2w_{3,2}(x) - w_{3,3}(x),$$

1. By calculation, $c = 1$.
2. Construct C_F .

$$E = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ -2 & 2 & 3 & 6 \end{bmatrix} \quad A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -2 & 2 & 3 & 5 \end{bmatrix}$$
$$C_F = E^{-1}A = \begin{bmatrix} \frac{2}{5} & \frac{1}{5} & -\frac{4}{5} & -\frac{1}{5} \\ -\frac{2}{5} & \frac{4}{5} & \frac{4}{5} & \frac{1}{5} \\ \frac{2}{5} & -\frac{4}{5} & \frac{1}{5} & -\frac{1}{5} \\ -\frac{2}{5} & \frac{4}{5} & -\frac{1}{5} & \frac{6}{5} \end{bmatrix}$$

An Illustrative Example (Cont.d)

3. Compute $N(F, G)$.

$$N(F, G) = G(C_F) = \begin{bmatrix} -4 & 6 & 6 & 1 \\ 2 & -6 & 3 & 1 \\ 2 & 0 & -9 & -2 \\ -4 & 6 & 6 & 1 \end{bmatrix}$$

4. Write down P_2, U_2 and $X_3^{(b)}$.

$$P_2 = \begin{bmatrix} \binom{2}{0} & \binom{2}{1} & \binom{2}{2} \\ & \binom{2}{0} & \binom{2}{1} & \binom{2}{2} \end{bmatrix} \quad U_2 = \begin{bmatrix} \binom{1}{0} & \binom{1}{1} \\ & \binom{1}{0} & \binom{1}{1} \end{bmatrix}$$
$$X_3^{(b)} = \begin{bmatrix} x & -(1-x) & & \\ & x & -(1-x) & \\ & & x & -(1-x) \end{bmatrix}$$

An Illustrative Example (Cont.d)

5. Calculate

$$P_2 \cdot N(F, G) = \begin{bmatrix} 2 & -6 & 3 & 1 \\ 2 & 0 & -9 & -2 \end{bmatrix}$$

$$U_2 \cdot X_3^{(b)} = \begin{bmatrix} x & -(1-x) + x & -(1-x) \\ & x & -(1-x) + x & -(1-x) \end{bmatrix}$$

6. Therefore, by the Main Theorem

$$S_2(F, G) = c \cdot \det \begin{bmatrix} P_2 \cdot N(F, G) \\ U_2 \cdot X_3^{(b)} \end{bmatrix}$$

$$= \det \begin{bmatrix} 2 & -6 & 3 & 1 \\ 2 & 0 & -9 & -2 \\ x & -(1-x) + x & -(1-x) \\ & x & -(1-x) + x & -(1-x) \end{bmatrix}$$

$$= 150w_{2,0}(x) - 150w_{2,1}(x) - 75w_{2,2}(x)$$

Corollary

Let $B^{(b)}(F, G)$ be the Bézout resultant matrix of F and G in Bernstein basis. Then for $k < n$,

$$S_k(F, G) = c \cdot \det \begin{bmatrix} P_k D_{m-1} B^{(b)}(F, 1)^{-1} B^{(b)}(F, G) D_{m-1}^{-1} \\ U_k X_{m-1}^{(b)} \end{bmatrix}$$

where c , P_k , U_k and $X_{m-1}^{(b)}$ as in the Main Theorem, and

$$D_{m-1} = \text{diag} \left[\frac{1}{\binom{m-1}{0}} \quad \frac{1}{\binom{m-1}{1}} \quad \cdots \quad \frac{1}{\binom{m-1}{m-1}} \right]$$

An Illustrative Example

Consider $k = 2$ and

$$F(x) = 2w_{4,0}(x) - \frac{1}{2}w_{4,1}(x) - \frac{1}{2}w_{4,2}(x) - \frac{5}{4}w_{4,3}(x) - w_{4,4}(x)$$

$$G(x) = 4w_{3,0}(x) - 2w_{3,1}(x) - 2w_{3,2}(x) - w_{3,3}(x)$$

1. Recall c , P_2 , $X_3^{(b)}$ and U_1 as in the previous example.
2. Construct $B^{(b)}(F, 1)$ and $B^{(b)}(F, G)$.

$$B^{(b)}(F, 1) = \begin{bmatrix} -10 & -5 & -\frac{13}{3} & -3 \\ -5 & -\frac{13}{9} & -\frac{5}{3} & -\frac{2}{3} \\ -\frac{13}{3} & -\frac{5}{3} & -\frac{20}{9} & -1 \\ -3 & -\frac{2}{3} & -1 & 1 \end{bmatrix}$$

$$B^{(b)}(F, G) = \begin{bmatrix} -4 & 4 & -2 & -2 \\ 4 & -\frac{8}{3} & -\frac{2}{3} & 0 \\ -2 & -\frac{2}{3} & \frac{13}{3} & 3 \\ -2 & 0 & 3 & 2 \end{bmatrix}$$

An Illustrative Example (Cont.d)

3. Construct D_3 .

$$D_3 = \begin{bmatrix} \frac{1}{\binom{3}{0}} & & & \\ & \frac{1}{\binom{3}{1}} & & \\ & & \frac{1}{\binom{3}{2}} & \\ & & & \frac{1}{\binom{3}{3}} \end{bmatrix}$$

4. Compute

$$P_2 D_3 B^{(b)}(F, 1)^{-1} B^{(b)}(F, G) D_3^{-1} = \begin{bmatrix} 2 & -6 & 3 & 1 \\ 2 & 0 & -9 & -2 \end{bmatrix}$$

$$U_2 \cdot X_3^{(b)} = \begin{bmatrix} x & -(1-x) + x & -(1-x) \\ & x & -(1-x) + x & -(1-x) \end{bmatrix}$$

An Illustrative Example (Cont.d)

5. By the Corollary

$$\begin{aligned} S_2(F, G) &= c \cdot \det \begin{bmatrix} P_2 D_3 B(F, 1)^{-1} B(F, G) D_3^{-1} \\ U_2 X_3^{(b)} \end{bmatrix} \\ &= \det \begin{bmatrix} 2 & -6 & 3 & 1 \\ 2 & 0 & -9 & -2 \\ x & -(1-x) + x & -(1-x) & \\ & x & -(1-x) + x & -(1-x) \end{bmatrix} \\ &= 150w_{2,0}(x) - 150w_{2,1}(x) - 75w_{2,2}(x) \end{aligned}$$

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Lemma (Hong et al. 99')

We have

$$S_k = c \cdot \frac{\det \begin{bmatrix} \mathbf{x}_{m-k-1}(\boldsymbol{\alpha}) & G(\boldsymbol{\alpha}) \\ \mathbf{x}_{k-1}(\boldsymbol{\alpha}) & (\mathbf{x} - \boldsymbol{\alpha}) \end{bmatrix}}{\det \mathbf{x}_{m-1}(\boldsymbol{\alpha})}$$

where

- $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_m)$, and $\alpha_1, \dots, \alpha_m$ are the roots of F
- $\mathbf{x}_i = [x^0, x^1, \dots, x^i]^T$
- $\mathbf{x}_i(\alpha_j) = [\alpha_j^0, \alpha_j^1, \dots, \alpha_j^i]^T$
- $\mathbf{x}_i(\boldsymbol{\alpha}) = [\mathbf{x}_i(\alpha_1), \dots, \mathbf{x}_i(\alpha_m)]$
- $G(\boldsymbol{\alpha}) = \text{diag} [G(\alpha_1), \dots, G(\alpha_m)]$
- $\mathbf{x} - \boldsymbol{\alpha} = \text{diag} [x - \alpha_1, \dots, x - \alpha_m]$

An Illustrative Example

Consider $k = 2$ and

$$F(x) = f_4x^4 + f_3x^3 + f_2x^2 + f_1x^1 + f_0x^0$$

$$G(x) = g_3x^3 + g_2x^2 + g_1x^1 + g_0x^0$$

Let $\alpha_1, \dots, \alpha_4$ be the roots of F . Then

$$S_2(F, G) = f_4 \cdot \frac{\det \begin{bmatrix} \alpha_1^0 G(\alpha_1) & \alpha_2^0 G(\alpha_2) & \alpha_3^0 G(\alpha_3) & \alpha_4^0 G(\alpha_4) \\ \alpha_1^1 G(\alpha_1) & \alpha_2^1 G(\alpha_2) & \alpha_3^1 G(\alpha_3) & \alpha_4^1 G(\alpha_4) \\ \alpha_1^0(x - \alpha_1) & \alpha_2^0(x - \alpha_2) & \alpha_3^0(x - \alpha_3) & \alpha_4^0(x - \alpha_4) \\ \alpha_1^1(x - \alpha_1) & \alpha_2^1(x - \alpha_2) & \alpha_3^1(x - \alpha_3) & \alpha_4^1(x - \alpha_4) \end{bmatrix}}{\det \begin{bmatrix} \alpha_1^0 & \alpha_2^0 & \alpha_3^0 & \alpha_4^0 \\ \alpha_1^1 & \alpha_2^1 & \alpha_3^1 & \alpha_4^1 \\ \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \alpha_4^2 \\ \alpha_1^3 & \alpha_2^3 & \alpha_3^3 & \alpha_4^3 \end{bmatrix}}$$

Step 1. Deduce the equivalent expression **in terms of roots** in scaled Bernstein basis.

Lemma (Subresultants in roots in scaled Bernstein basis)

We have

$$S_k(F, G) = c \cdot \frac{\det \begin{bmatrix} \bar{w}_{m-k-1}(\alpha) G(\alpha) \\ \bar{w}_{k-1}(\alpha) (x - \alpha) \end{bmatrix}}{\det \bar{w}_{m-1}(\alpha)}$$

where

- $\bar{w}_i(\alpha) = [\bar{w}_i(\alpha_1), \dots, \bar{w}_i(\alpha_m)]$
- $\bar{w}_i(\alpha_j) = [\bar{w}_{i,0}(\alpha_j), \dots, \bar{w}_{i,i}(\alpha_j)]^T$

An Illustrative Example

Consider $k = 2$ and

$$F(x) = a_0w_{4,0}(x) + a_1w_{4,1}(x) + a_2w_{4,2}(x) + a_3w_{4,3}(x) + a_4w_{4,4}(x)$$

$$G(x) = b_0w_{3,0}(x) + b_1w_{3,1}(x) + b_2w_{3,2}(x) + b_3w_{3,3}(x)$$

Let $\alpha_1, \dots, \alpha_4$ be the roots of F . Then

$$S_2(F, G) = f_4 \cdot \frac{\det \begin{bmatrix} \bar{w}_{1,0}(\alpha_1)G(\alpha_1) & \bar{w}_{1,0}(\alpha_2)G(\alpha_2) & \bar{w}_{1,0}(\alpha_3)G(\alpha_3) & \bar{w}_{1,0}(\alpha_4)G(\alpha_4) \\ \bar{w}_{1,1}(\alpha_1)G(\alpha_1) & \bar{w}_{1,1}(\alpha_2)G(\alpha_2) & \bar{w}_{1,1}(\alpha_3)G(\alpha_3) & \bar{w}_{1,1}(\alpha_4)G(\alpha_4) \\ \bar{w}_{1,0}(\alpha_1)(x - \alpha_1) & \bar{w}_{1,0}(\alpha_2)(x - \alpha_2) & \bar{w}_{1,0}(\alpha_3)(x - \alpha_3) & \bar{w}_{1,0}(\alpha_4)(x - \alpha_4) \\ \bar{w}_{1,1}(\alpha_1)(x - \alpha_1) & \bar{w}_{1,1}(\alpha_2)(x - \alpha_2) & \bar{w}_{1,1}(\alpha_3)(x - \alpha_3) & \bar{w}_{1,1}(\alpha_4)(x - \alpha_4) \end{bmatrix}}{\det \begin{bmatrix} \bar{w}_{3,0}(\alpha_1) & \bar{w}_{3,0}(\alpha_2) & \bar{w}_{3,0}(\alpha_3) & \bar{w}_{3,0}(\alpha_4) \\ \bar{w}_{3,1}(\alpha_1) & \bar{w}_{3,1}(\alpha_2) & \bar{w}_{3,1}(\alpha_3) & \bar{w}_{3,1}(\alpha_4) \\ \bar{w}_{3,2}(\alpha_1) & \bar{w}_{3,2}(\alpha_2) & \bar{w}_{3,2}(\alpha_3) & \bar{w}_{3,2}(\alpha_4) \\ \bar{w}_{3,3}(\alpha_1) & \bar{w}_{3,3}(\alpha_2) & \bar{w}_{3,3}(\alpha_3) & \bar{w}_{3,3}(\alpha_4) \end{bmatrix}}$$

Step 2. Prove that the **companion subresultants** are **equivalent** to the subresultants in roots in **scaled Bernstein basis**:

$$\det \begin{bmatrix} P_k N(F, G) \\ U_k X_{m-1}^{(b)} \end{bmatrix} = \frac{\det \begin{bmatrix} \bar{w}_{m-k-1}(\alpha) G(\alpha) \\ \bar{w}_{k-1}(\alpha)(\mathbf{x} - \alpha) \end{bmatrix}}{\det \bar{w}_{m-1}(\alpha)}$$

Step 3. Using the connection between $N(F, G)$ and $B^{(b)}(F, G)$, we deduce k -th **Bézout subresultant**

$$B^{(b)}(F, G) = B^{(b)}(F, 1) D_{m-1}^{-1} N(F, G) D_{m-1}$$

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Summary

Provide two types of subresultant formulas for Bernstein polynomials

- (1) **Companion subresultant**
- (2) **Bézout subresultant**

Future work

- (1) **Computation:** e.g., develop fast algorithms
- (2) **Application:** e.g., intersections of two Bezier curves
- (3) **Generalization:** e.g., multiple polynomials and multivariate cases

Thank you for your attention!